2010-1390 (Rev. 02-2005) rough 2007. OMB 0651-0021

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER NL 030312

| CON        | ICERNING A SUBMISSIO  | U.S. APPLICATION ALO. (If Known 5:00 37 CFR 1.5)        |   |  |  |  |  |  |  |
|------------|---|---|---|--|--|--|--|--|--|
|            | TIONAL APPLICATION NO.<br>2004/050311   | INTERNATIONAL FILING DATE<br>March 22, 2004             | PRIORITY DATE CLAIMED March 28, 2003                |  |  |  |  |  |  |
|            | INVENTION<br>ON DUAL-BEAM LAMP  |   |   |  |  |  |  |  |  |
| APPLICA    | NT(S) FOR DO/EO/US  |   |   |  |  |  |  |  |  |
|            |   |   | eas Martinus Theodorus Paulus VAN DER PUTTEN        |  |  |  |  |  |  |
| _          | Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:                                   |   |   |  |  |  |  |  |  |
| _          | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  |   |   |  |  |  |  |  |  |
| 2. 🗀       | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |   |   |  |  |  |  |  |  |
| 3. [_]     | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |   |  |  |  |  |  |  |
| 4.         | The US has been elected (Article 31).   |   |   |  |  |  |  |  |  |
| 5. 🗸       | A copy of the International Application as filed (35 U.S.C. 371(c)(2))  |   |   |  |  |  |  |  |  |
|            | a. is attached hereto (required only if not communicated by the International Bureau).  |   |   |  |  |  |  |  |  |
|            | b.  | b. 🗹 has been communicated by the International Bureau. |   |  |  |  |  |  |  |
|            | c. is not required, as the applic   | cation was filed in the United States Receiv            | ring Office (RO/US).                                |  |  |  |  |  |  |
| 6.         | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |   |   |  |  |  |  |  |  |
|            | a. is attached hereto.  |   |   |  |  |  |  |  |  |
|            | b.  has been previously submit  | tted under 35 U.S.C. 154(d)(4).                         |   |  |  |  |  |  |  |
| 7. <b></b> | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |   |   |  |  |  |  |  |  |
|            | a. are attached hereto (requir  | red only if not communicated by the Interna             | itional Bureau).                                    |  |  |  |  |  |  |
|            | b. have been communicated   | by the International Bureau.                            | 1   |  |  |  |  |  |  |
|            | c. have not been made; howe   | ever, the time limit for making such amendr             | ments has NOT expired.                              |  |  |  |  |  |  |
|            | d. An have not been made and v  | will not be made.                                       |   |  |  |  |  |  |  |
| 8.         | An English language translation of th   | ne amendments to the claims under PCT Ar                | ticle 19 (35 U.S.C. 371(c)(3)).                     |  |  |  |  |  |  |
| 9. 🔽       | An oath or declaration of the inventor  | (s) (35 U.S.C. 371(c)(4)).                              |   |  |  |  |  |  |  |
| 10.        | An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).  | e annexes of the International Preliminary E            | Examination Report under PCT                        |  |  |  |  |  |  |
| Items      | s 11 to 20 below concern document(s   | ) or information included:                              |   |  |  |  |  |  |  |
| 11.        | An Information Disclosure Statement   | under 37 CFR 1.97 and 1.98.                             |   |  |  |  |  |  |  |
| 12. 🗸      | An assignment document for recording  | ng. A separate cover sheet in compliance w              | rith 37 CFR 3.28 and 3.31 is included.              |  |  |  |  |  |  |
| 13. 🔽      | A preliminary amendment.  |   |   |  |  |  |  |  |  |
| 14.        | An Application Data Sheet under 37 (  | OFR 1.76.   |   |  |  |  |  |  |  |
| 15.        | A substitute specification.   |   |   |  |  |  |  |  |  |
| 16.        | A power of attorney and/or change of address letter.  |   |   |  |  |  |  |  |  |
| 17.        | A computer-readable form of the sequ  | uence listing in accordance with PCT Rule               | 13 <i>ter</i> .2 and 37 CFR 1.821- 1.825.           |  |  |  |  |  |  |
| 18.        | A second copy of the published Interr   | national Application under 35 U.S.C. 154(d)             | )(4).   |  |  |  |  |  |  |
| 19.        |   | ge translation of the international application         |   |  |  |  |  |  |  |
| 20. 🗸      | Other items or information:   | lail Certificate; PTO/SB/80; PTO/SB/96;                 | Charge Authorization; Receipt Confirmation Postcard |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Approx

PTO-1390 (Rev. 02-2005)
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| A.S. APPLICAT  | ION NO. (if known  | , see 37 CFR-1.5)                          | INTERNATIONAL AF   |                        | ATTORNEY'S DO           |                 |  |
|--|--|--|--|------------------------|-------------------------|-----------------|--|
| 4  | 10/55  | ก351                                       | PCT/IB2004/050311  |                        | NL 030312               |                 |  |
|  | owing fees have b  | <del></del>                                | <u> </u>   |                        | CALCULATIONS            | PTO USE ONLY    |  |
| 21. Z Basic national fee   |  |  |  | \$300                  | \$ 300.00               |                 |  |
| If International pr<br>PCT Article 33  | nination fee<br>reliminary examina<br>3(1)-(4)                               | \$ 200.00                                  |  |                        |                         |                 |  |
| Search fee (37 C<br>Internation<br>International Sea   | rch fee<br>FR 1.445(a)(2)) ha<br>nal Searching Aut<br>rch Report prepar<br>s | \$ 400.00                                  |  |                        |                         |                 |  |
|  | TOTAL OF 21, 22  | 2 and 23 =                                 |  |                        | \$ 900.00               |                 |  |
| Additional fed sequence lis  | e for specification sting or computer (                                      | and drawings filed<br>program listing file | in paper over 100 sheets (e<br>d in an electronic medium).<br>paper or fraction thereof. | xcluding               |                         |                 |  |
| Total Sheets   | Extra Sheets   |  | additional 50 or fraction ip to a whole number)  | RATE                   |                         |                 |  |
| - 100 =  | /50 =  |  |  | × \$250                | \$                      |                 |  |
|  | 0.00 for furnishing<br>ate (37 CFR 1.49)                                     |  | ration later than 30 months fi   | om the earliest        | \$                      |                 |  |
| CLAIMS   | NUMB   | ER FILED                                   | NUMBER EXTRA   | RATE                   | \$                      |                 |  |
| Total claims   | 4  | - 20 =                                     |  | × \$50                 | \$ 0.00                 |                 |  |
| Independent clair  | ms 1   | - 3 =                                      |  | × \$200                | \$ 0.00                 |                 |  |
| MULTIPLE DEPE  | NDENT CLAIM(S  | 6) (if applicable)                         |  | + \$360                | \$                      |                 |  |
|  |  |  | TOTAL OF ABOVE   | CALCULATIONS =         | \$ 0.00                 |                 |  |
| Applicant cla  | ims small entity st  | atus. See 37 CFR                           | 1.27. Fees above are reduce  | ed by 1/2.             |                         |                 |  |
|  | ·  |  |  | SUBTOTAL =             | \$ 900.00               |                 |  |
| _  | f <b>\$130.00</b> for furnis<br>ate (37 CFR 1.492                            | \$   |  |                        |                         |                 |  |
|  |  |  | TOTAL  | NATIONAL FEE =         | \$ 900.00               |                 |  |
| Fee for recording<br>by an appropriate   | the enclosed ass<br>cover sheet (37  | ignment (37 CFR<br>CFR 3.28, 3.31). \$     | 1.21(h)). The assignment mu<br>40.00 per property  | st be accompanied<br>+ | \$ 40.00                |                 |  |
|  |  |  | TOTAL F  | EES ENCLOSED =         | \$ 940.00               |                 |  |
|  |  |  |  |                        | Amount to be refunded:  | \$              |  |
|  |  |  |  |                        | Amount to be charged:   | \$ 940.00       |  |
| a. A chec  | k in the amount of   | \$   | to cover the abo   | ove fees is enclosed.  |                         | _               |  |
| b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 940.00 to cover the above fees.  |  |  |  |                        |                         |                 |  |
| A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit                      |  |  |  |                        |                         |                 |  |
| Account No. 14-1270 A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not |  |  |  |                        |                         |                 |  |
| NOTE: Where ar   | appropriate tim  | e limit under 37 (                         | ard information and authoriza CFR 1.495 has not been me                                  |                        | (37 CFR 1.137(a) or (b) | ) must be filed |  |
| _  | estore the Intern  |  | on to pending status.  | 2-6                    | Xagn 9/15               | 65              |  |
|  |  |  |  | SIGNATURE              | roym                    |                 |  |
| Co   | rporate Pater  |  |  |                        |                         |                 |  |
| Phili  | ps Electronics Nort  | h America Corporat                         | ion  | Frank Keegan NAME      |                         | <del>"</del>    |  |
| P.C  | ). Box 3001  |  |  | 50,145                 |                         |                 |  |
| Bri  | arcliff Manor, N   | NY 10510                                   |  |                        | ON NUMBER               |                 |  |

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

VAN RIJSWICK et al.

NL 030312

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

HALOGEN DUAL-BEAM LAMP

|                          | CERTIFICATE OF EXPRESS MAILING   |
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| [X] Express Mail Mailing | Label No.  |
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| Date of Deposit:         | 9-23-05  |
| Service "Express Mail P  | s paper and/or fee is being deposited with the United States Postal ost Office to Addressee" service under 37 C.F.R. 1.10 on the date addressed to the Commissioner for Patents, PO Box, 1450, Alexandria, |
|                          | $\mathcal{O}$ .  |
| Jeanne Rusciano          | Jeanne Luciense  |
| Typed Name               | Signature/   |

JC14 Rec'd PCT/PTO 23 SEP 2005

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HALOGEN DUAL-BEAM LAMP

Commissioner for Patents Alexandria, VA 22313-1450

## AUTHORIZATION PURSUANT TO 37 CFR §1.136(a)(3) AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

Frank J. Keegan, Reg. 50,145

Attorney

(914) 333-9669